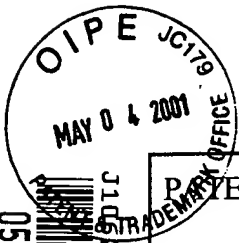


05-04-01

A



## PATENT APPLICATION TRANSMITTAL LETTER

Docket Number (Optional)  
TFD-001

To the Commissioner of Patents and Trademarks:

Transmitted herewith for filing under 35 U.S.C. 111 and 37 CFR 1.53 is the patent application of John Mason entitled NOVEL POLISH COMPOSITIONS AND METHOD OF USE

Enclosed are:

- ☒ 19 Pages of written description, claims and abstract.  
☐ 0 Sheets of drawings,  
 An assignment of the invention to \_\_\_\_\_  
☒ Executed declaration of the inventor(s)  
☐ A certified copy of a \_\_\_\_\_ application,  
☐ Associate power of attorney  
☒ Information disclosure statement  
☒ Preliminary amendment,  
☒ Other: Postcard

## CLAIMS AS FILED

	NUMBER FILED	NUMBER EXTRA	RATE	FEE
Basic Fee (37 Cfr 1.16(a)) <b>Small Entity Fee</b>			\$355	\$355
Total Claims (37 Cfr 1.16(c))	20 - 20 =	0	\$9	\$0
Independent Claims	1 - 3 =	0	\$40	\$0
Multiple dependent Claims	(37 CFR 1.16(d))		\$135	\$0
		TOTAL (Small Entity)		\$355

☒ A check in the amount of \$355 to cover filing fee is enclosed.

Date

Signature

John C. Mason

Typed or printed name

103 Fowler Street, Carrboro, NC 27510

Address



09248666-050401



08/17/01

03CO \$

#3

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0851-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)

**Complete if Known**

Application Number	09/848,666
Filing Date	05/04/2001
First Named Inventor	John Clifton Mason
Examiner Name	Michael Duong (OIPE)
Group Art Unit	1755
Attorney Docket No.	TFD-001

**METHOD OF PAYMENT**

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	
Deposit Account Name	

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$)

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	\$130
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

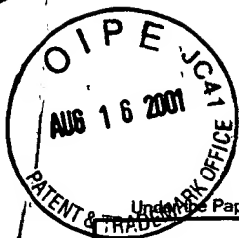
\$130

**SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)	Michael G. Kinnaird	Registration No. (Attorney/Agent)		Telephone	(919) 602-8002
Signature				Date	

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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#3

PTO/SB92 (08-00)

Approved for use through 10/31/2002. OMB 0851-0031

U. S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

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### Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

on 8/16/01  
Date

Michael G. Kinnaird

Signature

Michael G. Kinnaird

Typed or printed name of person of signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

- Fee Transmittal
- Check for \$130
- Page 8 of specification, Application 09/848,666
- Notice of Omitted Items in a Non-Provisional Application
- Petition for a new filing date (in explanation) 8/16/01
- Supplemental declaration

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.